

Engagement Policy – Shareholder Rights Directive II (“SRD II”)

The Shareholder Rights Directive (“SRD II”) came into force on 10th June 2019 and was implemented by the FCA in COBS 2.2B of the FCA Handbook. SRD II aims to promote effective stewardship and long-term investment decision-making by enhancing transparency of engagement policies and investment strategies across the institutional investment community.

Quaero Capital LLP is working closely with its ESG analyst to consider the requirements under COBS 2.2B and SRD II to develop and publish an Engagement Policy. Further updates will be published under the “Regulatory Disclosures” section of the Firm’s website in due course.

The Quaero Capital Group’s existing Responsible Investment policies, including the Group’s current Dialogue & Engagement Policy, can be found here: <https://quaerocapital.com/en/responsible-investment-at-quaero-capital/>.

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